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- Computer simulation model development and validation of radio frequency heating for bulk
- chestnuts based on single particle approach

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#### ABSTRACT

A computer simulation model was developed using finite element-based commercial software, COMSOL, to simulate temperature distributions of single particle chestnuts packed in a rectangular plastic container and treated in a 6 kW, 27.12 MHz radio frequency (RF) system. The developed model was validated by temperature distributions of three horizontal layers and temperature profiles at three representative positions in the container without mixing. Both simulated and experimental results showed similar heating patterns in RF treated chestnuts under same conditions, in which corners and edges were overheated and highest temperatures were located in sample contact points of top and middle layers at four corners in the container. A heating uniformity index (HUI) was used to evaluate effects of processing conditions on RF heating uniformity. The simulated and experimental results showed that the HUI was reduced when three layers chestnuts were separated with two plastic sheets. The better heating uniformity in chestnuts was obtained when they were treated with a single layer, or under mixing conditions. The developed model can help to explore the RF heating patterns on a single particle chestnut and RF heating uniformity in chestnuts of the container, and provide valuable methods to improve the RF heating uniformity for future industrial applications.

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#### 1. Introduction

Chestnut (Castanea mollissima) is a widely consuming nut around the 24 world due to its special flavor and taste. Since postharvest chestnuts 25 contain high moisture content, rich carbohydrate, and low fat (Chenlo 26 et al., 2009; Vasconcelos et al., 2010), infestations with pests and dis-27 eases are major issues on chestnuts during long term storage (Antonio 28 29 et al., 2011). It is estimated that annual losses of chestnuts due to pests are about 35-50% of total production during storage in China, result-30 ing in high economic losses (Gao et al., 2011). Chemical fumigation with 31 methyl bromide has been widely used to disinfest agricultural products, 32 including chestnuts. However, this chemical fumigation is harmful 33

to not only human's health but also environment due to depleting ozone layer. Therefore, various alternatives for disinfestations, such as ozone, modified atmospheres, low pressure and low temperature, irradiation, etc. have been studied (Carocho et al., 2012; Jiao et al., 2013; Pan et al., 2012). Although there are a large number of suggested potential chemical and non-chemical alternatives for disinfestations, each has limitations in terms of efficiency, cost, penetration, or residues that prevent it from becoming a direct replacement for pesticides (Hansen et al., 1992). Recently, radio frequency (RF) energy is proposed as an effective and environmental-friendly heating method to control insects in nuts and grains, including chestnuts, with acceptable product quality (Gao et al., 2010; Hou et al., 2015a; Jiao et al., 2012; Wang et al., 2007b). Q3

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FOOD AND BIOPRODUCTS PROCESSING XXX (2016) XXX-XXX

А	Surface area (m <sup>2</sup> )
Cp	Heat capacity $(J \text{ kg}^{-1} \circ \text{C}^{-1})$
Ē	Electric field intensity (V $m^{-1}$ )
f	Frequency (Hz)
h	Heat transfer coefficient at the same surface $(W m^{-2} \circ C^{-1})$
HUI	Heating uniformity index (dimensionless)
k	Thermal conductivity (W m <sup>-1</sup> °C <sup>-1</sup> )
Q	Power density generated by electric field $(W m^{-3})$
t	Time (s)
Т	Sample temperature (°C)
Tav	Average temperature (°C)
T <sub>initial</sub>	Initial average temperature of chestnuts (°C)
$\Delta T$	Temperature difference (°C)
Δt	Total time taken during each mixing process (s)
$\partial T/\partial t$	Increase rate of temperature (°C s $^{-1}$ )
V	Electric potential (V)
V <sub>vol</sub>	Volume (m <sup>3</sup> )
ε	Permittivity (F m <sup>-1</sup> )
ε <sub>0</sub>	Free space permittivity (F $\mathrm{m}^{-1}$ )
$\varepsilon'$	dielectric constant (dimensionless)
ε	Dielectric loss factor (dimensionless)
$\nabla$	Gradient operator
ρ	Density (kg m <sup>-3</sup> )

Heating non-uniformity is one of the major obstacles for RF tech-45 nology to be commercially applicable, especially in samples with high 46 47 moisture contents and large sizes, such as chestnuts. It is reported that 48 several interacting factors (e.g., electrode gap, electrode shape, packing 49 geometries, position of treated sample, and surrounding media) influence heating uniformity during RF treatments (Huang et al., 2015c; 50 Jiao et al., 2015; Tiwari et al., 2011a,b). However, experimental meth-51 ods to adjust these parameters are time consuming, costly, and often 52 provide limited information. On the contrary, computer simulation can 53 be served as an effective tool for rapid, cheap, and flexible analysis, 54 and provide an insight into the dielectric heating mechanism in agri-55 cultural products (Hossan et al., 2010; Romano and Marra, 2008; Tiwari 56 et al., 2011a). To help understand the complex RF dielectric heating pro-57 cess and analyze RF heating uniformity, simulation has previously been 58 used in various products, such as dry soybeans (Huang et al., 2015c), 59 eggs (Dev et al., 2012), fruit (Birla et al., 2008),meat batters (Romano 60 and Marra, 2008), peanut butter (Jiao et al., 2014), raisins (Alfaifi et al., 61 62 2014), wheat (Chen et al., 2015), and wheat flour (Tiwari et al., 2011b).

Agricultural products, such as fruits and nuts, in bulk may contain a 63 certain amount of air among the product particles. On the one hand, air 64 reduces flow of heat throughout the material during RF treatments. On 65 the other hand, dielectric properties and thermal conductivity of air are 66 totally different from those of fruits and nuts. Therefore, mixing equa-67 tions are used to estimate effective dielectric properties and thermal 68 conductivity of the air-particle mixture made up of air (voids) and parti-69 cles of the solid (Nelson, 1991). Alfaifi et al. (2014) used mixing equations 70 to calculate dielectric and thermal conductivity of raisins in container, 71 and determined heating uniformity of the raisin in the container as a 72 whole sample during RF heating. They found that heating uniformity 73 in raisins was mostly affected by density of the raisin followed by the 74 75 top electrode voltage, the dielectric properties, the thermal conductivity, and the heat transfer coefficient. Similar mixing equations have 76 been applied to simulate RF heating on dry soybeans (Huang et al., 77 2015c), meat batters (Uyar et al., 2016), wheat (Chen et al., 2015), and 78 wheat flour (Tiwari et al., 2011b). However, container's shape and size 79 are totally different from those of samples, resulting in poor RF heating 80 81 uniformity (Tiwari et al., 2011a). Therefore, it is necessary to determine

the temperature distribution of RF treated bulk nuts and a single nut, which has not been reported so far using the finite element simulation.

The objectives of this research were to (1) develop a computer simulation model for bulk chestnuts based on a single particle approach when subjected to a 6 kW, 27.12 MHz RF system using commercial finite element software COMSOL, (2) validate the computer simulation model by comparing with the experimental temperature profiles of chestnuts after 5.4 min RF heating, (3) apply the validated model to predict the behavior of RF heating non-uniformity in bulk chestnuts and the single particle chestnut, and (4) explore effective methods to improve the RF heating uniformity in chestnuts.

### 2. Materials and methods

#### 2.1. Sample preparation

Chinese chestnuts (C. mollissima) were purchased from a local wholesale market in Yangling, Shaanxi Province, China. The average initial moisture content and individual weight of tested chestnuts were  $51.27 \pm 1.19\%$  on wet basis (w.b.) and  $11.71 \pm 0.91$  g, respectively. The chestnuts were stored with mesh bags in a refrigerator (BD/BC-297KMQ, Midea Refrigeration Division, Hefei, China) at  $4 \pm 1$  °C, taken out from the refrigerator 12 h before the experiment, and kept at ambient room temperature ( $20 \pm 1$  °C) for equilibrium.

### 2.2. Simulation model development

#### 2.2.1. Physical model

A 6 kW, 27.12 MHz parallel electrodes, pilot scale free-running oscillator RF unit (SO6B, Strayfield International Limited, Wokingham, UK) was used in this research, with an area of  $83 \times 40 \text{ cm}^2$  for top plate electrode and a larger bottom plate electrode (Fig. 1). In the RF cavity (2.98 m long, 1.09 m wide and 0.74 m high), about 2.5 kg chestnuts were filled into a polypropylene plastic container ( $24 \times 18 \times 6 \text{ cm}^3$ ), and placed on the center of the bottom electrode, for RF treatments. The electrode gap was changed by adjusting position of the top electrode to achieve the required the RF power and heating rate.

It was considered that there are three layers chestnuts in the container with 72 chestnuts in each layer. Individual weight and density of tested chestnuts were  $11.71 \pm 0.91$  g and  $1.22 \pm 0.03$  g/cm<sup>3</sup>, respectively. Chestnut was simplified as an ellipsoid shape in the simulation model. The sizes of *a*, *b*, and *c* in semi-principal axes were selected as 1.5 cm, 1.5 cm, and 1.0 cm, respectively, to achieve a small volume difference between the real chestnut and the simplified ellipsoid model.

#### 2.2.2. Governing equations

The Maxwell's equations can be used to solve the electric field intensity in the electromagnetic field. Since the RF wavelength (11 m) in the 27.12 MHz RF unit is often much longer than the RF cavity size, the Maxwell's equation can be simplified to the Laplace equation by neglecting the effect of magnetic fields. The Laplace equation is described by a quasi-static assumption (Birla et al., 2008):

 $-\nabla \cdot \left( \left( \sigma + j 2\pi f \varepsilon_0 \varepsilon' \right) \nabla \mathbf{V} \right) = 0 \tag{1}$ 

where  $\sigma$  delegates electrical conductivity of the treated sample or air (S m<sup>-1</sup>) and  $\varepsilon'$  represents also dielectric constant of treated sample or air, depending on which domain the equation is solved.  $j = \sqrt{-1}$ , f is the frequency (Hz),  $\varepsilon_0$  is the permittivity of free space (8.86 × 10<sup>-12</sup> F m<sup>-1</sup>) and V delegates the 137

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FOOD AND BIOPRODUCTS PROCESSING XXX (2016) XXX-XXX



(b)

## Fig. 1 – 3-D scheme (a) and dimensions (b) of the 6 kW 27.12 MHz RF system and chestnuts used in simulations (all dimensions are in cm).

voltage (V) between the two electrodes related to the electric field ( $E = -\nabla V$ ).

<sup>140</sup> When a dielectric material is placed between two plate RF <sup>141</sup> electrodes, the RF power ( $Q, Wm^{-3}$ ) in the material, conversed <sup>142</sup> to thermal energy, can be described as (Choi, 1991):

<sup>143</sup> 
$$Q = 2\pi f \varepsilon_0 \varepsilon'' |\vec{E}|^2$$
(2)

where the electric field intensity is  $\vec{E} = -\nabla V$ ,  $\varepsilon''$  is the loss factor of the treated sample.

The heat conduction equation was just solved within the
treated sample, convection at the sample's surface and heat
generation in the chestnuts due to RF energy. The heat transfer
inside the treated sample is described by Fourier's equation
(Uyar et al., 2015):

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$$\rho C_p \frac{\partial T}{\partial t} = \nabla \cdot (k \nabla T) + Q$$
 (3)

where  $\partial T/\partial t$  is heating rate in treated samples (°C s<sup>-1</sup>), k is thermal conductivity (W m<sup>-1</sup> K<sup>-1</sup>),  $\rho$  and  $C_p$  are density (kg m<sup>-3</sup>) and specific heat (J kg<sup>-1</sup> K<sup>-1</sup>) of treated samples, respectively. 154

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#### 2.2.3. Initial and boundary conditions

The geometrical, thermal and electrical boundary conditions of the RF unit used in the computer simulation model are illustrated in Fig. 1. The initial temperature was set at 20 °C. Except for that the top surface of chestnuts was uncovered and exposed to the ambient air, the side walls and bottom of the chestnuts were surrounded by the rectangular plastic container (Fig. 1a). The top exposed surface of the sample was assigned with convective heat transfer ( $h = 20 \text{ Wm}^{-2} \text{ K}^{-1}$ ) for free convection of ambient air (Wang et al., 2001). Electrode gap between the top and bottom plates was set as 12 cm to acquire the suitable heating rate of treated chestnuts (Hou et al., 2014). The top electrode was deemed as the electromagnetic source since it inputted high frequency electromagnetic energy from the generator to the RF cavity and the bottom

## ARTICLE IN PRESS

FOOD AND BIOPRODUCTS PROCESSING XXX (2016) XXX-XXX

electrode was considered as ground (V=0V). It was difficult 170 to measure the actual top electrode voltage during operat-171 ing without disturbing the heating operation (Marshall and 172 Metaxas, 1998), therefore, preliminary simulations with the 173 same conditions were run by considering different values 174 of top electrode voltage. Based on the comparison between 175 preliminarily simulated and experimental sample tempera-176 ture distributions, the top electrode voltage was considered 177 as 16,000 V for the final simulation. The same approach has 178 been used to evaluate top electrode voltage of similar RF units 179 (Birla et al., 2008; Chen et al., 2015; Huang et al., 2015a; Marshall 180 and Metaxas, 1998; Tiwari et al., 2011b). All the metal shielding 181 parts except for the top electrode were grounded, and consid-182 ered as electrical insulation ( $\nabla E = 0$ ). 183

#### 184 2.2.4. Simulation procedure

A finite element analysis software, COMSOL Multiphysics 4.3a 185 (COMSOL Multiphysics, CnTech Co., Ltd., Wuhan, China), was 186 used to solve the coupled electromagnetic and heat transfer 187 equations (Joule heating model). The software was run on a 188 ThinkPad PC with an Intel Core i5-4210U, 2.40 GHz Quad Core 189 Processor and 8 GB RAM with a Windows 7 64-bit operating 190 system. COMSOL provides normal, fine, finer, extra fine, and 191 extremely fine meshes. According to accuracy and resource 192 consumption, a finer mesh was used to establish the final 193 mesh system, which consisted of 109,364 domain elements 194 (tetrahedral) used in subsequent simulation runs. Each com-195 putation case took about 30 min to complete. 196

#### 197 2.2.5. Model parameters

Information of dielectric, physical, and thermal properties of 198 the treated chestnuts and surrounding medium is essential 199 in modeling the RF heating process. Since thermal properties 200 of food depend mostly on compositions, heat capacity and 201 thermal conductivity can be calculated (Sahin and Sumnu, 202 2006). According to the literatures (Hou et al., 2014; Korel and 203 Balaban, 2006), moisture content, carbohydrate, protein, fat, 204 and ash of chestnuts were 51.27%, 38.82%, 2.03%, 5.94%, and 205 2.46%, respectively. The thermal conductivity (k) and specific 206 heat  $(C_p)$  of chestnuts were calculated by the following equa-207 tions (Sahin and Sumnu, 2006): 208

$$k = 0.5127 \times k_{water} + 0.3882 \times k_{CHO} + 0.0203 \times k_{protein} + 0.0594 \times k_{fat} + 0.0246 \times k_{ash} = 0.39343 + 1.336967 \times 10^{-3}T - 5.25552 \times 10^{-6}T^2$$

$$C_p = 0.5127 \times C_{pwater} + 0.3882 \times C_{pCHO} + 0.0203 \times C_{pprotein} + 0.0594 \times C_{nfat} + 0.0246 \times C_{nach} = 2927.89 + 0.87156 \times T$$

where T is the sample temperature ( $^{\circ}$ C).

 $+ 0.09781 \times 10^{-3} T^2$ 

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Table 1 lists heat capacity, density, thermal conductivity, and dielectric properties of chestnuts, polypropylene, aluminum and air used in the computer model. The density was assumed to be temperature independent, whereas the heat capacity, thermal conductivity, and dielectric properties of chestnuts were assumed to be temperature dependent over the range of treatment temperatures ( $20-60 \circ C$ ).



Fig. 2 – Three positions (A–C) used to measure the temperature-time history of chestnuts in the middle layer during RF treatments (all dimensions are in cm).

2.2.6. RF heating uniformity index of the sample Heating uniformity index ( $\lambda$ ) was successfully used for evaluating RF heating uniformity in coffee bean (Pan et al., 2012), lentil (Jiao et al., 2012), almond (Gao et al., 2010), chestnut (Hou et al., 2014), and walnut (Wang et al., 2007a). It is defined as (Alfaifi et al., 2014):

$$HUI = \frac{\frac{1}{V_{vol}} \int V_{vol} sqrt((T - T_{av})^2) dV_{vol}}{T_{av} - T_{initial}}$$
(6)

where T and  $T_{av}$  are local and average temperatures (°C) inside the chestnuts over the volume (V<sub>vol</sub>, m<sup>3</sup>),  $T_{initial}$  is initial average temperature of chestnuts (°C) before RF treatments. In a RF treatment, a smaller HUI indicates better heating uniformity. Ideal value of HUI is zero, which means all the chestnuts gain the same temperature.

#### 2.3. Model validation

#### 2.3.1. Experiment without mixing

To validate the developed computer simulation model, the plastic container filled with 2.5 kg of chestnuts was put on the 241 bottom electrode in the center of RF cavity and heated by the 242 RF unit. Target average temperature for complete kill of insect 243 pests in chestnuts with acceptable quality was estimated to 244 be  $50 \,^{\circ}$ C for 3 min, based on the thermal-death kinetics of 245 yellow peach moth (Hou et al., 2015a; Moreira et al., 2011, 246 2013). Therefore, the target temperature of treated chestnuts 247 was set as 50 °C. A fiber optic sensor (HQ-FTS-D120, Xi'an 248 HeQi Opo-Electronic Technology Co., LTD, Shaanxi, China) was 249 inserted into chestnuts at a predetermined position to obtain 250 temperature-time history (Fig. 2). The heating time needed 251 for the cold spot from ambient temperature (20 °C) to 50 °C 252 was recorded. When the cold spot reached  $50\,^\circ\text{C}$ , the sam-253 ple was taken out immediately to take thermal images. The 254 obtained temperature profiles were further used to validate 255 the computer simulation model. A thermal digital infrared 256 camera (DM63-S, DaLi Science and Technology Co., LTD, Zhe-257 jiang, China) with an accuracy of  $\pm 2$  °C was used for mapping 258 the surface temperatures of chestnuts in top, middle, and bot-259 tom layers after the treated chestnuts were taken out from the 260

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FOOD AND BIOPRODUCTS PROCESSING XXX (2016) XXX-XXX

#### Q8 Table 1 – Electrical and thermo-physical properties of bulk materials used in computer simulation.

Material properties	Chestnut	Aluminum <sup>a</sup>	Air <sup>a</sup>	Polypropylene <sup>a</sup>
Heat capacity $C_p$ (J kg <sup>-1</sup> K <sup>-1</sup> )	$0.8794 \times T + 2927.75$	900	1200	1800
Density $\rho$ (kg m <sup>-3</sup> )	1221.74	2700	1.2	900
Thermal conductivity k (W m <sup><math>-1</math></sup> K <sup><math>-1</math></sup> )	$0.00092 \times T + 0.40079$	160	0.025	0.2
Dielectric constant ( $\varepsilon'$ )	$0.014\times T\times T - 0.515\times T + 35.46^{c}$	1	1	2.0 <sup>b</sup>
Loss factor ( $\varepsilon^{''}$ )	$0.058 \times T \times T - 1.825 \times T + 58.46^{\circ}$	0	0	0.0023 <sup>b</sup>

T = temperature (°C).

<sup>a</sup> COMSOL material library, V4.3a (2012).

<sup>b</sup> von Hippel (1995).

<sup>c</sup> Guo et al. (2011).

Simulated
Experimental

Top layer

Middle layer

Bottom layer

Fig. 3 – Comparison of simulated and experimental temperature distributions for top, middle, and bottom layers of chestnuts placed in a polypropylene container  $(24 \times 18 \times 6 \text{ cm}^3)$  on the bottom electrode after RF heating.

RF cavity. The thermal digital infrared camera was first calibrated against a thin thermocouple thermometer (HH-25TC, Type-T, OMEGA Engineering Inc., Stamford, Connecticut, USA) with an accuracy of  $\pm 0.5$  °C and 0.9 s response time. The whole temperature measurement procedure was completed within 205. The experiments were replicated three times.

#### 267 2.3.2. Mixing experiments

The same sample was used for mixing experiments with an 268 electrode gap of 120 mm during the whole 5.4 min RF heat-269 ing. The experiments were carried out at intervals of 1.8 min 270 for two mixing treatments during entire treatment time. Mix-271 ing was conducted manually outside the RF cavity in a larger 272 container  $(35.5 \times 27.5 \times 10.5 \text{ cm}^3)$  for 25 s, and then the sam-273 ples were returned to the container and put back into the 274 275 RF system for further heating under the same conditions. 276 After the two mixing processes were completed, samples were placed back into the RF cavity for the remainder of the heating time. Each whole mixing process took about 60 s. Before and immediately after RF treatments, surface temperatures of the top layer chestnuts in the container were measured with the same infrared camera described above. Each measurement took about 3 s. The surface temperature data of the top layer were used to calculate the average and standard deviation values. Each test was repeated twice.

#### 2.4. Model applications

# 2.4.1. Simulation with three layers separated with polypropylene plastic sheets

After validation, the simulation model was used to study the temperature distribution of chestnuts under different conditions. Since the highest temperatures appeared at contact points between top and middle layer at four corners of 277

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FOOD AND BIOPRODUCTS PROCESSING XXX (2016) XXX-XXX

Table 2 - Comparison of chestnut temperatures (°C) in three horizontal layers between simulation and experiment after 5.4 min RF heating at a fixed gap of 120 mm and initial temperature of 20 °C.

	<u> </u>	0.							
		Top layer		Middle layer			Bottom layer		
	Ave. temp.	Max. temp.	Min. temp.	Ave. temp.	Max. temp.	Min. temp.	Ave. temp.	Max. temp.	Min. temp.
Simulated	$48.84\pm3.65$	$90.74\pm5.42$	$37.46\pm3.31$	$52.74 \pm 3.72$	$69.86 \pm 4.86$	$29.38\pm3.45$	$49.98\pm3.31$	$65.41 \pm 4.57$	$32.01 \pm 3.16$
Experimental	$48.60\pm2.71$	$100.25\pm4.21$	$35.7\pm2.47$	$52.70\pm3.14$	$87.00 \pm 3.94$	$42.25\pm2.32$	$50.17 \pm 2.48$	$80.20\pm3.71$	$42.95 \pm 2.62$



Fig. 4 - Validation of the computer simulation results by comparing experimental data with simulated temperature-time histories of chestnuts at locations A-C during RF heating.

the container, three layers were separated with two 0.2 cm 292 293 thick polypropylene plastic sheets with an area of  $18 \times 24$  cm<sup>2</sup> during RF heating. Then, simulated results (average tempera-294 ture, highest temperature, and heating uniformity index) were 295 compared with experimental ones. 296

#### 2.4.2. Simulation with single layer 297

Since the highest temperature appeared at contact points 298 between top and middle layer and the heating uniformity 299 index was different from layer to layer, single layer chest-300 nuts were placed into the plastic container and heated by RF 301 energy. After simulation, the average temperature and heating 302 uniformity index were validated by experiment. 303

#### 2.4.3. Simulation with mixing conditions

On the one hand, the corners and edges heating were observed in mung beans (Huang et al., 2015b), wheat (Chen et al., 2015), wheat flour (Tiwari et al., 2011b), and chestnuts. On the other hand, the temperatures of contact points were higher than those in a single particle chestnut. So, mixing may be an effective method to eliminate the adverse effects from overheating at contact points of corners and edges in the container, and then improve heating uniformity of chestnuts. To simulate temperature distributions of chestnuts subjected to RF heating under mixing conditions, the following assumptions were considered. First, the temperature distributions of chestnuts were assumed to be uniform after each mixing (Wang et al., 2007b; Chen et al., 2015). Second, the mass and momentum transfers of moisture were neglected due to a short time RF heating.

During 5.4 min RF heating, two mixing processes were conducted with an interval of 1.8 min. In the simulation model, after one time mixing for one interval RF heating, the average temperature of chestnuts was regarded as the initial temperature for next interval RF heating until two mixing processes were completed. The temperature drop ( $\Delta T$ ,  $^{\circ}C$ ) due to heat loss during each mixing process was considered in simulation model as follows:

$$\Delta T = \frac{hA(T_{av} - T_{initial})\Delta t}{\rho C_p V}$$
(8)

where h is convective heat coefficient, which was estimated to be 28 W m<sup>-2</sup> K<sup>-1</sup> with forced convection during the whole mixing process (Wang et al., 2007b), A and V are the whole surface areas (0.1368 m<sup>2</sup>) and volume (0.002592 m<sup>3</sup>), respectively. T<sub>av</sub> and T<sub>initial</sub> are the final average temperatures of the chestnuts after RF heating prior to each mixing and the ambient air temperature (20 °C), respectively.  $\Delta t$  is the total time (60 s) taken during each mixing process.



Fig. 5 - Simulated temperature distributions of chestnuts after 5.4 min RF heating with an electrode gap of 120 mm and initial temperature of 20 °C.

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food and bioproducts processing x x x (2016) xxx-xxx







Fig. 7 – Simulated temperature distribution of single layer chestnuts after RF heating with an electrode gap of 120 mm and initial temperature of 20 °C.

#### 3. Results and discussion

### 337 3.1. Model development and validation

Fig. 3 shows a comparison between simulated and experi-338 mental temperature distributions of chestnuts in three layers. 339 Results demonstrated that the simulated and experimental 340 temperature distribution patterns for all three layers were in 341 good agreement. Corners and edges heating was obviously 342 observed for all layers and cold spots were found at the center 343 part in each layer. To obtain the internal temperature distri-344 bution of bulk chestnuts, the simulation was applied to the 345 whole geometry of each individual chestnut in three layers. 346 The simulation results showed that the temperature of a single 347 chestnut was higher in its center except for those at cor-348 ners of the container. But this phenomenon was not found 349 in experimental temperature distribution since the experi-350 mental thermal images of RF treated chestnuts only indicated 351 the surface temperature of the chestnuts. At corners of the 352 container, the temperature of contact points was higher than 353 other parts of chestnuts. Electrical field concentrated at cor-354 ners and edges of the sample was caused by the electrical 355

field refraction and reflection, resulting in higher temperature distributions on these parts. Values of the experimentally determined temperature and the simulated one matched well except for corners of the sample where the simulated average temperatures in the top and middle layers were slightly higher than those determined by experiments but opposite results were found in the bottom layer (Table 2). Generally, these small temperature differences between simulation and experiment could be ignored since these relative temperature differences were 0.5%, 0.0% and 0.4% in the top, middle and bottom layers, respectively. Temperature-time histories of chestnuts at the points A–C in the middle layer were also compared (Fig. 4). Simulated and experimental temperature-time histories of chestnuts at these points (A-C) were also in good agreement. A similar heating rate of 8.33, 5.56 and 4.07 °C min<sup>-1</sup> for chestnuts at the points A-C, respectively, was obtained both in simulation and experiment during the heating period. Table 2 compares simulated and experimental average and standard deviation temperatures for chestnuts in three horizontal layers after 5.4 min RF heating. The experimental average temperatures matched well with the simulated ones, while the simulated temperatures in each layer were slightly

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# ARTICLE IN PRESS

FOOD AND BIOPRODUCTS PROCESSING XXX (2016) XXX-XXX

lower than the experimental ones. This may be due to exper-378 imental temperature data obtained at the end of experiments 379 while simulated temperatures were recorded during simula-380 tion. Both simulated and experimental results showed that 381 the average temperature values were higher at middle layers 382 followed by bottom and top layers. Similar results were found 383 in soybean (Huang et al., 2015c), wheat (Chen et al., 2015), and 384 wheat flour (Tiwari et al., 2011b). 385

This simulation method based on single particle approach 386 was working well for large size samples, such as chestnuts and 387 walnuts, as demonstrated in this study. It would be possible for 388 applying this simulation approach to small particle samples, 389 such as soybean and wheat as soon as the enough computer 390 capacitance could be provided to obtain convergence results 391 through the fine meshes. On the other hand, the simulation 392 393 results based on single particle approach could be close to those in bulk samples obtained by mixing equations due to 394 small voids in small particle samples. 395

# 396 3.2. Validated temperature distribution in corners of 397 the container

Fig. 5 shows simulated temperature profiles of chestnuts after 398 5.4 min RF heating with an electrode gap of 120 mm. High-399 est temperature (152.84 °C) of treated chestnuts, was three 400 times the average temperature (50.50 °C) of treated chestnuts, 401 located in the contact points between top and middle lay-402 ers at four corners. To understand the temperature profile 403 of chestnuts at the corners, comparison of experimental and 404 simulated temperature distributions of top, middle, and bot-405 tom layers at the corners of container is shown in Fig. 6(a, 406 b). The temperatures of the contact points were higher than 407 other parts of chestnuts both in experimental and simulated 408 samples. This phenomenon may be caused by concentrated 409 E-fields distributions around those contact areas. As shown 410 in Fig. 6(c), the E-fields intensity of contact points was higher 41**Q5** than other parts. While the RF power density at any location 412 is proportional to the square of E-field, higher temperatures 413 were observed at the corners of the container with higher E-414 field intensity. This over-heating phenomenon appeared at the 415 contact points of sweet cherries when cherries treated with RF 416 energy in air at the points of contact with container or with 417 other fruits (Ikediala et al., 2002). Since chestnuts were a heat-418 sensitive nut and the chestnut skin was easy to be brown when 419 the temperature was above 70 °C (Hou et al., 2015b). The color 420 of chestnut skin became brown at both contact points and 421 areas near the contact point in experiment, which matched 422 well with simulated temperature distributions (Fig. 6). 423

#### 424 3.3. Heating uniformity improvement in chestnuts

#### 425 3.3.1. Layers separated with plastic sheet

Table 3 showed heating uniformity index of simulated and 426 experimental results of chestnuts at three horizontal layers 427 separated with or without two plastic sheets after 5.4 min RF 428 heating. By adding plastic sheets, heating uniformity index of 429 computer simulation model was reduced from 0.148 to 0.111, 430 which was in good agreement with that of experiment. Heat-431 432 ing uniformity was improved, which may be caused by less concentrated E-fields at the contact points separated with the 433 plastic sheets, resulting in the reduced highest temperatures. 434

Table 3 – Compar two plastic sheets	ison of chestn s at a fixed ele	ut temperatures ( ctrode gap of 1201	°C) and heating uı mm.	niformity index (H	.UI) between sim	ulation and expe	eriment in three h	orizontal layers s	separated with or	without
			Top layer			Middle layer			Bottom layer	
		Ave. temp.	Max. temp.	IUH	Ave. temp.	Max. temp.	HUI	Ave. temp.	Max. temp.	IUH
Simulated	With	$54.41 \pm 2.32$	$81.39 \pm 3.76$	$0.051 \pm 0.004$	$48.06 \pm 2.14$	$59.82 \pm 3.37$	$0.030 \pm 0.002$	$48.67\pm2.17$	$55.28 \pm 3.19$	$0.041 \pm 0.003$
	Without	$48.84 \pm 3.65$	$90.74 \pm 5.42$	$0.127 \pm 0.007$	$52.74 \pm 3.72$	$69.86\pm4.86$	$0.135 \pm 0.008$	$49.98\pm3.31$	$65.41 \pm 4.57$	$0.127 \pm 0.007$
Experimental	With	$49.87\pm1.51$	$76.97 \pm 3.78$	$0.136 \pm 0.008$	$52.14 \pm 2.36$	$68.93\pm3.14$	$0.126 \pm 0.007$	$50.02 \pm 1.74$	$61.72 \pm 3.19$	$0.129 \pm 0.007$
	Without	$48.60 \pm 2.71$	$100.25 \pm 4.21$	$0.219 \pm 0.014$	$52.70 \pm 3.14$	$87.00 \pm 3.94$	$0.184 \pm 0.011$	$50.17 \pm 2.48$	$80.20 \pm 3.71$	$0.188 \pm 0.011$

food and bioproducts processing x x x ( 2 0 1 6 ) xxx-xxx

Table 4 – Comparison of chestnut temperatures (°C) and heating uniformity index (HUI) in three horizontal layers between simulation and experiment with mixing at a fixed gap of 120 mm.

	Top la	yer	Middle	layer	Bottom	Bottom layer	
	Ave. temp.	HUI	Ave. temp.	HUI	Ave. temp.	HUI	
Simulation	$48.70\pm1.11$	$0.031 \pm 0.012$	$52.53 \pm 1.37$	$0.054 \pm 0.013$	$49.73 \pm 1.63$	$0.032\pm0.012$	
Experiment	$48.32 \pm 1.72$	$0.077\pm0.026$	$51.76\pm1.68$	$0.071 \pm 0.008$	$49.62 \pm 2.22$	$0.061 \pm 0.007$	

#### 435 3.3.2. Simulation with single layer

Fig. 7 showed the temperature distribution of simulated chest-436 nuts with single layer when the chestnuts were heated by RF 437 energy with electrode gap of 12 cm. After RF heating, the aver-438 age temperature, highest temperature, and heating uniformity 439 index were 50.07  $^{\circ}\text{C}$ , 64.69  $^{\circ}\text{C}$ , and 0.0355, respectively. At the 440 same time, the heating uniformity index was 0.0803 when the 441 chestnuts were heated from 20 °C to 50.01 °C (average tem-442 443 perature) in experiment. Both the simulation and experiment results indicated that the heating uniformity index of single 444 layer chestnuts was better than that of three layers. This may 445 be caused by no contact points along the Z-axis. 446

447 3.3.3. Simulation with mixing conditions

Table 4 lists average temperature and heating uniformity 448 index of chestnuts in three horizontal layers with two mix-449 ing processes during RF heating. The heating uniformity index 450 in both simulation and experiment was reduced as compared 451 without mixing (Table 3), which means mixing can improve 452 heating uniformity of chestnuts. Position of chestnuts and 453 454 contact points were randomly changed with mixing, resulting 455 in better heating uniformity. After one-, two-, and threetime mixings, the heating uniformity index in wheat samples 456 showed decreasing trend with the increasing mixing times, 457 indicating that mixing can also improve heating uniformity 458 (Chen et al., 2015). 459

### 4. Conclusions

A computer simulation model was developed for RF heating 460 bulk chestnuts based on single particle approach in a 6 kW, 461 27.12 MHz RF unit. Results from computer simulation and 462 experimental methods showed a good agreement for the tem-463 perature distribution in three horizontal layers of chestnuts 464 packed in the plastic container. Simulated and experimental 465 results both showed that highest temperatures were located at 466 the sample contact points of the four corners in the container. 467 The validated simulation model was further used to study the 468 influence of layers separated with plastic sheets, single layer, 469 and mixing conditions on the temperature distribution of RF 470 heated chestnuts. Both simulated and experimental results 471 showed that the better RF heating uniformity was achieved 472 when the three layers of chestnuts were separated with two 473 plastic sheets, heated with a single layer, and mixing during 474 RF heating. The developed simulation model can help under-475 stand the temperature distributions in a single chestnut and 476 improve the heating uniformity of bulk chestnuts when sub-477 jected to RF heating. 478

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# **ARTICLE IN PRESS**

FOOD AND BIOPRODUCTS PROCESSING XXX (2016) XXX-XXX

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